

MINUTES OF THE EXTRAORDINARY MEETING OF HINTS WITH CANWELL PARISH COUNCIL

Held at Hints Village Hall on Tuesday 21st January 2025 at 7:30pm

Present: Cllr. S Petford (Chair), Cllr. P Fice, Cllr. G Kynaston, Cllr. P Kynaston, Cllr. P.D Lucas & Cllr. S Shepherd

Guests in Attendance: None

Public in Attendance: Two

Minute Taker & Clerk Gemma Burgess, clerk@hintswithcanwellparishcouncil.gov.uk

25/14. Apologies: Apologies were received from Cllr. S Clarke (holiday).

RESOLVED: That the reason for apology be approved.

25/15. Declarations of Interest and Dispensations: None relevant to agenda items.

25/16. Minutes:

a. **RESOLVED:** *That the Minutes of the Parish Council meeting held on Tuesday 12th November 2024, having been circulated, be confirmed and signed as a correct record.*

b. It was noted that the meeting due to be held on Tuesday 14th January 2025 was cancelled due to illness.

25/17. Public Participation:

A resident commented:

- That the verges on Slade Road, which had not been in a bad condition, have been cut. The verges on London Road, which are in a much worse state have not been done.
- There are still issues with dogs not being under control at Canwell Field. (This has previously been discussed with LDCs Environmental Protection and Housing Manager but is difficult to enforce).

25/18. An opportunity to address the Council for invited guests: Apologies were received from SCC Cllr. D Smith, LDC Cllr. Brian Yeates & the police.

25/19. Quinney Hall: Controlled root cutting of the drainage system at Quinney Hall has taken place. Once the invoice is paid, a CCTV report will be released to see if further works are needed.

25/20. IT Infrastructure: Two different solutions to fit the Council's IT needs were considered: Migration of the Clerk and all Councillors to Microsoft 365 and Local Council Community Cloud via Citrix for the Clerk with councillor emails remaining where they are currently hosted (with the website).

Both options were considered in detail but it was agreed that the Local Council Community Cloud via Citrix option provided the best solution and best value.

RESOLVED: *That Microshade VSM be instructed to manage the Council's IT software through Local Council Community Cloud via Citrix as below:*

1.1 Installation and Migration to Hosted Solution

Description	Cost
Migration to Hosted Solution and Testing <ul style="list-style-type: none"> • Installation and Set up of council file structure • Migration of applications and data • Working with third party software provider to migrate specialist applications where appropriate • Data backup configuration and testing • Live migration to fully hosted solution • Project Management and installation of client (PC) software • Post installation support 	100.00
Migration of mail box if required £15.00 per mailbox	
Total Cost	100.00

1.2 Annual Fee

Description	Cost
Hosted Application Service <ul style="list-style-type: none"> • Single access to Microshade Local Council Community Cloud via Citrix • User support 7am to 11pm 364 days a year • Storage • Managed backups - data backed up every 4 hours internally, and to external media every week and every month. See Cyber Security Report • Work directly on our servers no data travels over the internet • Rental of Microsoft Office Standard (Word, Excel, Power Point, Outlook) • VSM share – password-protected file sharing service 	393.84
Anti Virus (per device) Optional £36.00	
Total Cost	393.84

25/21. Grounds Maintenance Tender: Three tender responses had been received for the 2025-2027 grass mowing contract at Canwell Field. (Prices below are per cut ex VAT). The Clerk was directed to ensure the keys are returned from the previous contractor.

	Option A	Option B	Option C
2025	150	90	120
2026	157.20	94.50	120
2027	165	99	120

RESOLVED: That Tonks Brothers (Option B) be instructed to mow Canwell Field for the 2025-27 cutting seasons. They will be met at the field when cutting conditions are favourable to ensure that the field is cut as per instruction.

25/22. Lengthsman Scheme: The Clerk reported on advice received from other parishes regarding their Lengthsman Scheme. It was agreed that formally employing staff for maintenance jobs was not the best solution, but that contractors could be instructed on an ad-hoc basis. The Clerk was directed to enquire about any permissions that may be necessary to carry out work that should be done by Staffordshire County Council but isn't (such as verges and gully cleaning).

25/23. Finance, Staffing and Administration:

a. **Bank reconciliation:** The reconciliation at 31st December 2024 was checked and approved.

Reconciliation at 31st December 2024

Accounts		Bank balances	
a. Balance b/f 1st April	30,903.93	24-Dec Lloyds Current	8589.29
b. Total Receipts	10,751.55	24-Dec Lloyds Savings	25565.67
c. Total Payments	7,470.52	Petty Cash	30.00
d. Profit / Loss on year to date (b-c)	<u>3,281.03</u>	Add unrepresented income	0.00
e. Closing Balance (a+d)	<u>34,184.96</u>	Less unrepresented cheques	0.00
			<u>34,184.96</u>

- b. The list of receipts and payments to 31st December 2024 was noted. (attached p5)
c. The list of payments for January and February 2025 was approved as below:

Payments to authorise at this meeting 21st January 2025

Paid Date	Doc #	Type	Payee	Details	TOTAL Gross	VAT Element	Employee Costs	General Admin	Grounds Maint	Grants	Other
21/01/2025	2425-054	BACS	Hints Village Hall	2024 Hall Hire	50.00			50.00			
21/01/2025	2425-055	BACS	Clerk	Salary M10	296.17		296.17				
21/01/2025	2425-059	BACS	MZR Drainage Ltd	Controlled root cutting at Quinney Hall	174.00	29.00			145.00		
21/01/2025	2425-060	BACS	Clerk	Reimbursement for HM Land registry charge	7.00			7.00			
07/02/2025	2425-056	BACS	HMRC	Deductions M10	64.40		64.40				
11/02/2025	2425-057	BACS	Clerk	Salary M11	295.97		295.97				
07/03/2025	2425-058	BACS	HMRC	Deductions M11	64.80		64.80				
				TOTALS	952.34	29.00	721.34	57.00	145.00	0.00	0.00

- d. It was noted that the tax base for 2025-26 has increased from 198.5 to 199.3.
e. **2025-26 Finances:**

The budget for 2025-26 was considered. The lack of support from Staffordshire County Council in addressing maintenance issues which are affecting residents in the parish, such as flooding from blocked drains and dangerous paths which are overgrown was noted. It was agreed that the Parish Council would continue to advocate for work to be done by SCC but that provision needed to be made in the budget to complete safety works and projects to make the parish a nicer place to live. An additional £750 was added to the General Maintenance draft budget.

RESOLVED:

- That the 2025-26 budget be approved (attached at p6).
- That the Ear Marked Reserves (as below) be approved.

Earmarked Reserves at 31.03	Approved 31.03.25	Predicted 31.03.26
Quinney Hall Maintenance Fund	11,500.00	11800
Election costs	1,500.00	1800
CIL	1,431.51	1431.51
Defibrillators	1,500.00	1500
TOTAL EARMARKED RESERVES	£15,931.51	£16,531.51

- That the Clerk be authorised to serve a precept demand of £10, 584 on Lichfield District Council with the deficit of £1657 being funded from reserves.

	2024/25	2025/26	Change	
			£	%
Gross Precept	£9,800.00	£10,584.00	£784.00	8.00%
Council Tax Base	198.5	199.3	0.8	0.40%
Band D Council Tax	£49.37	£53.11	£3.74	7.57%

25/24. Planning: The following application was considered.

25/00004/FUH - Suffolk , 83 Watling Street, Hints, Tamworth

Proposal: Erection of a single storey side extension above part of existing garage, extension of porch and installation of a lean-to pitched roof to rear ground floor (resubmission of 24/01003/FUH)

RESOLVED: *No objection, subject to neighbours' comment and compliance with regulations.*

25/25. Reports from Chairman, Councillors and Clerk:

- **SCC outstanding reports for repair**

Growing frustration was expressed that Staffordshire County Council are not acting upon reports made in the parish which is putting residents' property at risk and creating dangerous conditions. Another large pothole by the ford has been reported. Cllr. S Petford will contact the Community Highway Officer again and steps will be taken to escalate a complaint.

- **Land ownership at Canwell Field**

It has been discovered that an area of land, including a wooded area next to the verge and the top corner of the Canwell Field entrance appear to be owned by Birmingham City Council. Contact will be made with them to ensure the trees are maintained and they are aware of their liability/responsibility.

- **Correspondence from a resident**

A resident has responded to a letter they received about property on Canwell Field. It has since been found the area of land where the property is stored is owned by Birmingham City Council. The resident was in attendance at the meeting and it was agreed that working together collaboratively could improve the situation. The resident will make enquiries to have the 45-gallon drum removed from the field. A letter will be sent to all properties which back on to Canwell Field regarding taking care when using the section of the field owned by Birmingham City Council with various people's property stored on it.

- **Flooding on School Lane**

LDC Environmental Health has become involved in the issue, which has not been solved by Staffordshire County Council or Severn Trent as there are concerns that water escaping from manholes could be contaminated with sewage.

- **Thanks from recipients of grant funding**

Both recipient of grant funding from the Parish Council have expressed their thanks. The funds will be used to maintain green spaces used by the wider community.

- **Progress with "Chatty Bench"**

The use of CIL funding for a "Chatty Bench" is progressing. The site for the bench has been chosen and now a suitable contractor will be instructed to install it, hopefully on the existing concrete pad.

- **Noise from Blackbrook Country Sports**

It was agreed that the noise from Blackbrook Country Sports has been improved since the stands have been turned. The activities can still be heard but it was reported that noise levels were measured as similar to the ambient noise of the A5 traffic. It was agreed that the lack of silence at Remembrance was not respectful and the business owner will be asked to show respect in observance of significant dates in the calendar such as Remembrance and Easter.

25/26. Policies: The risk register, asset register, Standing Orders and Financial Regulations were considered.

RESOLVED: *That risk register (attached pp 7-8), asset register (attached p9), Standing Orders (attached pp10-25) and Financial Regulations (attached pp26-37) be approved.*

25/27. Meetings: The next meetings, all at Hints Village Hall (7:30pm unless specified) were agreed as:

March 11th 2025, May 13th 2025 Annual Parish Assembly (7pm), Annual Parish Council Meeting (7.15pm), July 8th 2025

The Chairman thanked all those present for their attendance and declared the meeting closed at 9.15pm.

..... Signed

..... Date

Hints with Canwell Parish Council - Receipts and Payments 1 April 2024 to 31st March 2025

Payments

Invoice Date	Doc #	Type	Payee	Details	TOTAL Gross	VAT Element	Employee Costs	General Admin	Grounds Maint	Grants	Other
13/03/2024	2425-003	DD	IONOS	Webhosting 13/03-13/04/24	12.00	2.00		10.00			
09/04/2024	2425-002	BACS	Clerk	Salary M1	285.32		285.32				
30/03/2024	2425-005	DD	HSBC	Account Charges to 30.03.24	8.00			8.00			
07/05/2024	2425-001	BACS	HMRC	M1 Deductions	64.60		64.60				
06/06/2024	2425-010	DD	ICO	Annual Subscription	35.00			35.00			
13/04/2024	2425-003	DD	IONOS	Webhosting 13/04-13/05/24	12.00	2.00		10.00			
14/05/2024	2425-009	BACS	Clerk	Salary M2	285.12		285.12				
14/05/2024	2425-007	BACS	Clerk	GB EXP: £10 petty cash float	10.00			10.00			
14/05/2024	2425-006	BACS	Kim Squires Internal Audit	Internal Audit for 23-24	187.00			187.00			
17/05/2024	2425-011	BACS	Clear Insurance	24-25 Insurance renewal	402.82			402.82			
21/05/2024	2425-016	DD	HSBC	Account Charges to 30.04.24	8.00			8.00			
07/06/2024	2425-008	BACS	HMRC	M2Deductions	64.80		64.80				
30/05/2024	2425-017	DD	IONOS	Webhosting 13/05-13/06/24	12.00	2.00		10.00			
11/06/2024	2425-013	BACS	Clerk	Salary M3	285.12		285.12				
21/06/2024	2425-019	DD	HSBC	Account Charges to 31.05.24	8.00			8.00			
21/07/2024	2425-027	DD	HSBC	Account Charges to 30.06.24	8.00			8.00			
31/06/2024	2425-020	DD	IONOS	Webhosting 13/06-13/07/24	12.00	2.00		10.00			
07/07/2024	2425-012	BACS	HMRC	M3 Deductions	64.80		64.80				
09/07/2024	2425-014	BACS	SPCA	24-25 SPCA Subs	133.50			133.50			
09/07/2024	2425-021	BACS	Clerk	Salary M4	285.12		285.12				
07/08/2024	2425-022	BACS	HMRC	M4 Deductions	64.80		64.80				
13/08/2024	2425-024	BACS	Clerk	Salary M5	285.12		285.12				
09/07/2024	2425-025	BACS	Clerk	GB EXP: £20 petty cash float	20.00			20.00			
09/07/2024	2425-018	BACS	SJL	Mowing Canwell Field May	116.81	19.47			97.34		
13/08/2024	2425-026	BACS	Defib Store	Smart pads for iPad SP1 Defibrillator x 2	146.4	24.40		122.00			
31/07/2024	2425-028	DD	IONOS	Webhosting 13/07-13/08/24	12.00	2.00		10.00			
21/08/2024	2425-029	DD	HSBC	Account Charges to 31.07.24	8.00			8.00			
31/08/2024	2425-030	DD	IONOS	Webhosting 13/08-13/09/24	12.00	2.00		10.00			
10/09/2024	2425-023	BACS	HMRC	M5 Deductions	64.80		64.80				
21/09/2024	2425-040	DD	HSBC	Account Charges to 31.08.24	8.00			8.00			
10/09/2024	2425-031	BACS	EDGE Business Systems	hints-village webhosting	102.00	17.00		85.00			
07/10/2024	2425-032	BACS	HMRC	M6 Deductions	64.80		64.80				
10/09/2024	2425-033	BACS	Clerk	Salary M6	285.12		285.12				
08/10/2024	2425-035	BACS	Clerk	Salary M7	285.12		285.12				
10/09/2024	2425-036	BACS	SJL	Canwell Field Mowing June	116.81	19.47			97.34		
10/09/2024	2425-037	BACS	SJL	Canwell Field Mowing July	116.81	19.47			97.34		
10/09/2024	2425-038	BACS	ACWArb	Canwell Field tree work	456.00	76.00			380.00		
30/09/2024	2425-039	DD	Ionos	Webhosting 13/09-13/10/24	12.00	2.00		10.00			
21/10/2024	2425-041	DD	HSBC	Account Charges to 30.09.24	8.00			8.00			
07/12/2024	2425-043	BACS	HMRC	M8 Deductions	86.60		86.60				
12/11/2024	2425-042	BACS	Clerk	Salary M8	372.52		372.52				
12/11/2024	2425-044	BACS	SJL	Canwell Field Mowing August	116.81	19.47			97.34		
12/11/2024	2425-045	BACS	Kinson Partners	Hedge Cutting on Canwell Field	144.00	24.00			120.00		
12/11/2024	2425-046	BACS	BWP Creative Ltd	Deposit for Website build	238.98	39.83		199.15			
12/11/2024	2425-047	BACS	BWP Creative Ltd	Balance for new website & domains/hosting	784.86	130.81		654.05			
12/11/2024	2425-048	BACS	Clerk	Reimburse: Kasperky anti-virus	54.99	9.16		45.83			
10/12/2024	2425-050	BACS	Clerk	Salary M9	295.97		295.97				
09/12/2024	2425-052	BACS	PCC Canwell	s137 Grant to Canwell Church*	500.00					500.00	
09/12/2024	2425-051	BACS	PCC Hints	s137 Grant to St Barts Church*	500.00					500.00	
21/11/2024	2425-077	DD	HSBC	Account Charges to 31.10.24	8.00			8.00			
<i>Items marked * are expenditure incurred under LGA 1972 s137</i>					7,470.52	413.08	3,139.73	2,028.35	889.36	1,000.00	0.00

Receipts

Date	Ref	Payee	Details	TOTAL	VAT Refund	Precept	Employee Costs	Grounds Maint	Bank Interest	Other
17/04/24		LDC	Precept 24-26	£9,800.00		£9,800.00				
11/04/24		HMRC VAT	23-24 VAT refund	£206.91	£206.91					
07/06/24		HSBC	Interest	£132.05					£132.05	
14/05/24		Clerk	£10 cash for petty cash float	£10.00						£10.00
09/07/24		Lloyds Bank	Interest	£0.01					£0.01	
09/07/24		Clerk	£20 cash for petty cash float	£20.00						£20.00
09/08/24		Lloyds Bank	Interest	£0.26					£0.26	
06/09/24		HSBC	Interest	£87.93					£87.93	
09/09/24		Lloyds Bank	Interest	£19.02					£19.02	
09/10/24		Lloyds Bank	Interest	£20.96					£20.96	
08/10/24	R010	Ionos	Termination refund	£8.00	£1.33					£6.67
11/10/24		LDC	CIL 2024	£403.73						£403.73
11/11/24		Lloyds Bank	Interest	£23.08					£23.08	
09/12/24		Lloyds Bank	Interest	£19.60					£19.60	
				£10,751.55	£208.24	£9,800.00	£0.00	£0.00	£302.91	£440.40

Budget 25-26

	Budget 24-25	Revised 24-25 budget	As of 31.10.24	Predicted 31.03.25	Approved Budget 25-26
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EXPENDITURE**Employee Costs**

Salary & Allowance	£4,620.00	£4,620.00	£2,384.64	£4,363.00	£4,581.15
	£4,620.00	£4,620.00	£2,384.64	£4,363.00	£4,581.15

General Administration

Stationery/computer consumables	£100.00	£100.00	£0.00	£100.00	£100.00
Digital Mapping	£40.00	£40.00	£0.00	£37.50	£40.00
Memberships	£200.00	£200.00	£168.50	£168.50	£200.00
Insurance	£400.00	£400.00	£402.82	£402.82	£450.00
Bank Charges	£96.00	£96.00	£56.00	£64.00	£60.00
Internal Audit	£220.00	£220.00	£187.00	£187.00	£220.00
Professional Fees	£500.00	£500.00	£0.00	£250.00	£500.00
Training	£200.00	£200.00	£0.00	£0.00	£200.00
Webhosting	£350.00	£950.00	£155.00	£950.00	£350.00
PAYG clerk mobile phone	£10.00	£10.00	£0.00	£10.00	£10.00
Hall Bookings	£100.00	£100.00	£0.00	£50.00	£100.00
Defibrillator	£0.00	£1,500.00	£122.00	£622.00	£500.00
Election costs	£0.00	£0.00	£0.00	£0.00	£0.00
Software/Hardware	£200.00	£200.00	£0.00	£0.00	£1,400.00
Petty cash top-up			£30.00	£30.00	£30.00
TOTAL	£2,416.00	£4,516.00	£1,121.32	£2,871.82	£4,160.00

Grounds Maintenance

mowing	£750.00	£750.00	£292.02	£400.00	£720.00
General Maintenance	£500.00	£500.00	£380.00	£380.00	£1,250.00
Quinney Hall maintenance fund	£300.00	£300.00	£0.00	£0.00	£0.00
Jubilee Planting			£0.00	£0.00	£0.00
TOTAL	£1,550.00	£1,550.00	£672.02	£780.00	£1,970.00

Grants/Donations

S137	£1,500.00	£1,500.00	£0.00	£1,500.00	£1,500.00
CIL Spending					0
VAT	£0.00	£0.00	£189.81	£300.00	£0.00
contingency	£300.00	£300.00	£0.00	£300.00	£300.00
PAYMENTS	£10,386.00	£12,486.00	£4,367.79	£9,814.82	£12,511.15

EMR ACCRUAL**INCOME**

INCOME from Footsteps	£0.00	£0.00	£0.00	£0.00	£0.00
INCOME from precept	£9,800.00	£9,800.00	£9,800.00	£9,800.00	£10,584.00
INCOME from insurance claim	£0.00	£0.00	£0.00	£0.00	£0.00
INCOME from Councillor Grant	£0.00	£0.00	£0.00	£0.00	£0.00
INCOME from CIL	£0.00	£0.00	403.73	403.73	£0.00
VAT	£0.00	£0.00	£208.24	£208.24	£0.00
Interest from Bank Accounts	£250.00	£250.00	£260.23	£360.00	£240.00
Petty cash & Ionos refund			£36.67	£36.67	£30.00
RECEIPTS	£10,050.00	£10,050.00	£10,708.87	£10,808.64	£10,854.00
to/from reserves	-£336.00	-£2,436.00	£6,341.08	£993.82	-£1,657.15

	As of 31.03.24	As of 31.10.24	Predicted 31.03.25	Predicted 31.03.26
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Bank Balances

TOTAL	30,904.00	37,245.00	£31,897.82	£30,240.67
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	As of 31.03.24	As of 31.10.24	Predicted 31.03.25	Predicted 31.03.26
Earmarked Reserves at 31.03				
Quinney Hall Maintenance Fund	£11,200.00	11200	11,500.00	11800
Election costs	£1,200.00	1200	1,500.00	1800
CIL	£1,027.78	1431.51	1,431.51	1431.51
Defibrillators	£1,500.00	0	1,500.00	1500
TOTAL EARMARKED RESERVES	£14,927.78	£0.00	£13,831.51	£15,931.51
TOTAL GENERAL FUND	£15,976.22	£0.00	£23,413.49	£13,709.16

Hints with Canwell Parish Council – Financial Risk Assessment at 1st January 2025

Service Area	Risk	Action/Mitigation
Insurance	Property Damage	Property cover, for year ending 31 st December 2025 is adequate. Includes 2 No. defibrillators, and cases, laptop PC, printer, notice-boards, etc. Quinney Hall insured by Management Committee with Parish Council interest noted on policy.
	Money	Existing cover for year ending 31 st December 2025 is adequate.
	Business interruption	Not covered, but not required.
	Employers' Liability claim	Existing cover for year ending 31 st December 2025 of £10 million is adequate.
	Public and products liability claim	Existing cover for year ending 31 st December 2025 of £10 million is adequate.
	Fidelity Guarantee	Existing cover for year ending 31 st December 2025 of £250,000 is adequate.
	Libel and Slander	Existing cover for year ending 31 st December 2025 of £250,000 is adequate.
	Officials Indemnity	Existing cover for year ending 31 st December 2025 of £500,000 is adequate.
	Personal Accident.	Existing cover for year ending 31 st December 2025 of £100,000 is adequate.
Legal Expenses	Existing cover for year ending 31 st December 2025 of £250,000 is adequate.	
Accounts	Annual precept too high/too low or not the result of detailed consideration.	Continue current system with detailed budget based on past year and current year accounts.
	Inadequate monitoring of income/expenditure against budget	Full list of accounts to date presented to each bi-monthly council.
	Unlawful expenditure	Follow NALC Model Financial Regulations as adopted by Council 11 th January 2022. Follow advice of Clerk/RFO that all expenditure is within legal powers.
	Unauthorised expenditure	Report all payments to Council for approval (even if already paid). Cheque signatories to initial cheque stubs and invoices. Bank transfers authorised within Financial Regulations and checked against reconciled statements.
	Accounts not reconciled	Bank reconciliation presented to each bi-monthly council meeting.
	Non-standard and/or non-compliant records kept	Follow Financial Regulations as adopted by Council. Accept advice from Clerk/RFO and Internal and External auditors.
	Non-compliance with statutory requirements for completion/ approval/ submission of accounts and other financial returns.	Continue to ensure that all accounts and returns are completed and submitted by the deadlines.

Service Area	Risk	Action/Mitigation
	Non-compliance with internal audit requirements.	Appoint competent internal auditor.
	Loss of computer-based accounting records	Records backed up off site (cloud based). Plans to move to an externally managed package.
Staff	Loss of key personnel through ill health or leaving	Council could cover short-term absence while replacement found. Immediately advertise any vacancy. Appoint locum clerk if necessary.
Administration	Inadequate access to advice	Continue memberships of SPCA/NALC. Pay clerk's membership of SLCC if requested.
	Loss of computer-based records	Records backed up off site (Cloud)
	Loss of hard document records	Records maintained in Clerk's home. Photocopies to be stored off-site for key records. Documents to be archived in Stafford when accepting new.
Property ownerships	Loss of title deeds	All land ownerships have registered title so replacements available
Council owned land	Accident arising from unsafe areas, diseased trees, etc. and resultant public liability claim	Regular inspections for any risks. NB No play equipment exists.
Quinney Hall	Claims against the Council as building owner, uninsured loss of building	Operation of the building is undertaken by separate Management Committee who should report to Council any defects in areas of Council responsibility. Quinney Hall insured by Management Committee with Parish Council interest noted on policy.
	Failure by council to maintain structure of building	Repairs and renewals fund established.
Contractors	Activities of uninsured contractor could give rise to public liability claim. Unsafe working practices by a contractor appointed by the council	Council to check on contractors' indemnity insurance and working practices

Hints with Canwell Parish Council - Asset Register at 31st March 2025

					£3,857	£4,612	£2,863		
Item No.	Item	Location	Site area	Date acquired	Purchase price	Insured Value	Asset Value (For Accounts)	Notes	
1	Canwell Field	South side of Slade Road, Canwell	1.4 acres approx	25/10/1990	£0	£0	£0	Title SF295213 See Note 1 below	
2	Land including Quinney Hall	Junction of London Road/Slade Road, Canwell	0.6 acres approx	25/10/1990	£0	See Note 3 below	£0	Title SF295212 See Note 2 below	
3	Noticeboard	Hints Village Hall	-	09/09/2014	£275	£0	£0		
4	Noticeboard	Quinney Hall	-	09/09/2014	£275	£0	£0		
5	Defibrillator	Wall of Hints Village Hall	-	10/11/2015	£400	£1,300	£400		
6	Defibrillator	Wall of Quinney Hall	-	17/02/2022	£895	£1,300	£895		
7	Defibrillator box	Wall of Hints Village Hall	-	17/12/2015	£540	£540	£540		
8	Defibrillator box	Wall of Quinney Hall	-	17/12/2015	£540	£540	£540		
9	Laptop PC Hewlett Packard 15 BA55SA	Bangley Lodge Farm B78	-	31/08/2016	£292	£292	£0		
10	Brother Printer DCP J4120D W	262 Penns Lane, B76 1LQ	-	31/08/2016	£66	£66	£0		
11	Motorola E6 Mobile Phone	262 Penns Lane, B76 1LQ		14/01/2020	£86	£86	£0		
12	Laptop PC HP255 G7	262 Penns Lane, B76 1LQ		13/11/2020	£488	£488	£488		
13	Oak Tree	Canwell Field		15/11/2022	£225	£225	£0		
14	Commemorative Queens Canopy Plaque	Canwell Field		15/11/2022	£155	£155	£0		

NB Any individual item purchased for less than £300 is deemed *de minimis* and with an asset value of Nil

Notes

- 1 Transferred to Council in 1990 by virtue of the Local Government (Property) (West Midlands) Order 1990 S.I. 1954 at nil consideration
Title absolute registered to the Council 5 April 1991
Asset value for local council accounting purposes is the historic cost
- 2 Transferred to Council in 1990 by virtue of the Local Government (Property) (West Midlands) Order 1990 S.I. 1954 at nil consideration.
Title absolute registered to the Council 5 April 1991. Approx 0.25 acres of this land is Leased to Quinney Hall Management Committee for 35 years from 20 October 1999 at a peppercorn rent. The Council is responsible for the structure of the Hall (walls and roof), and tenant for all other parts
- 3 The asset value for local council accounting purposes is the historic cost

HINTS WITH CANWELL PARISH COUNCIL STANDING ORDERS

Adopted 11th January 2022

**National Association of Local Councils (NALC)
109 Great Russell Street, London, WC1B 3LD**

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These model standing orders update the National Association of Local Council (NALC) model standing orders contained in “Local Councils Explained” by Meera Tharmarajah (© 2013 NALC). This publication contains new model standing orders which reference new legislation introduced after 2013 when the last model standing orders were published.

HOW TO USE MODEL STANDING ORDERS

Standing orders are the written rules of a local council. Standing orders are essential to regulate the proceedings of a meeting. A council may also use standing orders to confirm or refer to various internal organisational and administrative arrangements. The standing orders of a council are not the same as the policies of a council but standing orders may refer to them.

Local councils operate within a wide statutory framework. NALC model standing orders incorporate and reference many statutory requirements to which councils are subject. It is not possible for the model standing orders to contain or reference all the statutory or legal requirements which apply to local councils. For example, it is not practical for model standing orders to document all obligations under data protection legislation. The statutory requirements to which a council is subject apply whether or not they are incorporated in a council’s standing orders.

The model standing orders do not include model financial regulations. Financial regulations are standing orders to regulate and control the financial affairs and accounting procedures of a local council. The financial regulations, as opposed to the standing orders of a council, include most of the requirements relevant to the council’s Responsible Financial Officer. Model financial regulations are available to councils in membership of NALC.

DRAFTING NOTES

Model standing orders that are in bold type contain legal and statutory requirements. It is recommended that councils adopt them without changing them or their meaning. Model standing orders not in bold are designed to help councils operate effectively but they do not contain statutory requirements so they may be adopted as drafted or amended to suit a council’s needs. It is NALC’s view that all model standing orders will generally be suitable for councils.

For convenience, the word “councillor” is used in model standing orders and, unless the context suggests otherwise, includes a non-councillor with or without voting rights.

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1. RULES OF DEBATE AT MEETINGS

- a Motions on the agenda shall be considered in the order that they appear unless the order is changed at the discretion of the chairman of the meeting.
- b A motion (including an amendment) shall not be progressed unless it has been moved and seconded.
- c A motion on the agenda that is not moved by its proposer may be treated by the chairman of the meeting as withdrawn.
- d If a motion (including an amendment) has been seconded, it may be withdrawn by the proposer only with the consent of the seconder and the meeting.
- e An amendment is a proposal to remove or add words to a motion. It shall not negate the motion.
- f If an amendment to the original motion is carried, the original motion (as amended) becomes the substantive motion upon which further amendment(s) may be moved.
- g An amendment shall not be considered unless early verbal notice of it is given at the meeting and, if requested by the chairman of the meeting, is expressed in writing to the chairman.
- h A councillor may move an amendment to his own motion if agreed by the meeting. If a motion has already been seconded, the amendment shall be with the consent of the seconder and the meeting.
- i If there is more than one amendment to an original or substantive motion, the amendments shall be moved in the order directed by the chairman of the meeting.
- j Subject to standing order 1(k), only one amendment shall be moved and debated at a time, the order of which shall be directed by the chairman of the meeting.
- k One or more amendments may be discussed together if the chairman of the meeting considers this expedient but each amendment shall be voted upon separately.
- l A councillor may not move more than one amendment to an original or substantive motion.
- m The mover of an amendment has no right of reply at the end of debate on it.
- n Where a series of amendments to an original motion are carried, the mover of the original motion shall have a right of reply either at the end of debate on the first amendment or at the very end of debate on the final substantive motion immediately before it is put to the vote.
- o Unless permitted by the chairman of the meeting, a councillor may speak once in the debate on a motion except:
 - i. to speak on an amendment moved by another councillor;
 - ii. to move or speak on another amendment if the motion has been amended since he last spoke;
 - iii. to make a point of order;
 - iv. to give a personal explanation; or
 - v. to exercise a right of reply.
- p During the debate on a motion, a councillor may interrupt only on a point of order or a personal explanation and the councillor who was interrupted shall stop speaking. A councillor raising a point of order shall identify the standing order which he considers has been breached or specify the other irregularity in the proceedings of the meeting he is concerned by.
- q A point of order shall be decided by the chairman of the meeting and his decision shall be final.

- r When a motion is under debate, no other motion shall be moved except:
 - i. to amend the motion;
 - ii. to proceed to the next business;
 - iii. to adjourn the debate;
 - iv. to put the motion to a vote;
 - v. to ask a person to be no longer heard or to leave the meeting;
 - vi. to refer a motion to a committee for consideration;
 - vii. to exclude the public and press;
 - viii. to adjourn the meeting; or
 - ix. to suspend particular standing order(s) excepting those which reflect mandatory statutory or legal requirements.
- s Before an original or substantive motion is put to the vote, the chairman of the meeting shall be satisfied that the motion has been sufficiently debated and that the mover of the motion under debate has exercised or waived his right of reply.
- t Excluding motions moved under standing order 1(r), the contributions or speeches by a councillor shall relate only to the motion under discussion and shall not exceed 5 minutes without the consent of the chairman of the meeting.

2. DISORDERLY CONDUCT AT MEETINGS

- a No person shall obstruct the transaction of business at a meeting or behave offensively or improperly. If this standing order is ignored, the chairman of the meeting shall request such person(s) to moderate or improve their conduct.
- b If person(s) disregard the request of the chairman of the meeting to moderate or improve their conduct, any councillor or the chairman of the meeting may move that the person be no longer heard or be excluded from the meeting. The motion, if seconded, shall be put to the vote without discussion.
- c If a resolution made under standing order 2(b) is ignored, the chairman of the meeting may take further reasonable steps to restore order or to progress the meeting. This may include temporarily suspending or closing the meeting.

3. MEETINGS GENERALLY

- Full Council meetings ●
- Committee meetings ●

- a **Meetings shall not take place in premises which at the time of the meeting are used for the supply of alcohol, unless no other premises are available free of charge or at a reasonable cost.**
- b **The minimum three clear days for notice of a meeting does not include the day on which notice was issued, the day of the meeting, a Sunday, a day of the Christmas break, a day of the Easter break or of a bank holiday or a day appointed for public thanksgiving or mourning.**
- c **The minimum three clear days' public notice for a meeting does not include the day on which the notice was issued or the day of the meeting unless the meeting is convened at shorter notice**
- d **Meetings shall be open to the public unless their presence is prejudicial to the public interest by reason of the confidential nature of the business to be transacted or for other special reasons. The public's exclusion from part or all of a meeting shall be by a resolution which shall give reasons for the public's exclusion.**

- e Members of the public may make representations, answer questions and give evidence at a meeting which they are entitled to attend in respect of the business on the agenda.
- f The period of time designated for public participation at a meeting in accordance with standing order 3(e) shall not exceed 15 minutes unless directed by the chairman of the meeting.
- g Subject to standing order 3(f), a member of the public shall not speak for more than 5 minutes.
- h In accordance with standing order 3(e), a question shall not require a response at the meeting nor start a debate on the question. The chairman of the meeting may direct that a written or oral response be given.
- i A person shall raise his hand when requesting to speak.
- j A person who speaks at a meeting shall direct his comments to the chairman of the meeting.
- k Only one person is permitted to speak at a time. If more than one person wants to speak, the chairman of the meeting shall direct the order of speaking.
- l **Subject to standing order 3(m), a person who attends a meeting is permitted to report on the meeting whilst the meeting is open to the public. To “report” means to film, photograph, make an audio recording of meeting proceedings, use any other means for enabling persons not present to see or hear the meeting as it takes place or later or to report or to provide oral or written commentary about the meeting so that the report or commentary is available as the meeting takes place or later to persons not present.**
- m **A person present at a meeting may not provide an oral report or oral commentary about a meeting as it takes place without permission.**
- n **The press shall be provided with reasonable facilities for the taking of their report of all or part of a meeting at which they are entitled to be present.**
- o **Subject to standing orders which indicate otherwise, anything authorised or required to be done by, to or before the Chairman of the Council may in his absence be done by, to or before the Vice-Chairman of the Council.**
- p **The Chairman of the Council, if present, shall preside at a meeting. If the Chairman is absent from a meeting, the Vice-Chairman of the Council if present, shall preside. If both the Chairman and the Vice-Chairman are absent from a meeting, a councillor as chosen by the councillors present at the meeting shall preside at the meeting.**
- q **Subject to a meeting being quorate, all questions at a meeting shall be decided by a majority of the councillors and non-councillors with voting rights present and voting.**
- r **The chairman of a meeting may give an original vote on any matter put to the vote, and in the case of an equality of votes may exercise his casting vote whether or not he gave an original vote. See standing orders 5(h) and (i) for the different rules that apply in the election of the Chairman of the Council at the annual meeting of the Council.**
- s **Unless standing orders provide otherwise, voting on a question shall be by a show of hands. At the request of a councillor, the voting on any question shall be recorded so as to show whether each councillor present and voting gave his vote for or against that question. Such a request shall be made before moving on to the next item of business on the agenda.**

- t The minutes of a meeting shall include an accurate record of the following:
 - i. the time and place of the meeting;
 - ii. the names of councillors who are present and the names of councillors who are absent;
 - iii. interests that have been declared by councillors and non-councillors with voting rights;
 - iv. the grant of dispensations (if any) to councillors and non-councillors with voting rights;
 - v. whether a councillor or non-councillor with voting rights left the meeting when matters that they held interests in were being considered;
 - vi. if there was a public participation session; and
 - vii. the resolutions made.

- u **A councillor or a non-councillor with voting rights who has a disclosable pecuniary interest or another interest as set out in the Council's code of conduct in a matter being considered at a meeting is subject to statutory limitations or restrictions under the code on his right to participate and vote on that matter.**

- v **No business may be transacted at a meeting unless at least one-third of the whole number of members of the Council are present and in no case shall the quorum of a meeting be less than three.**

See standing order 4d(vii) for the quorum of a committee meeting.

- w **If a meeting is or becomes inquorate no business shall be transacted** and the meeting shall be closed. The business on the agenda for the meeting shall be adjourned to another meeting.

- x A meeting shall not exceed a period of 2½ hours.

4. COMMITTEES

- a **Unless the Council determines otherwise, a committee may appoint a sub-committee whose terms of reference and members shall be determined by the committee.**

- b **The members of a committee may include non-councillors unless it is a committee which regulates and controls the finances of the Council.**

- c **Unless the Council determines otherwise, all the members of an advisory committee may be non-councillors.**

- d The Council may appoint standing committees or other committees as may be necessary, and:

- i. shall determine their terms of reference;
- ii. shall determine the number and time of the ordinary meetings of a standing committee up until the date of the next annual meeting of the Council;
- iii. shall permit a committee, other than in respect of the ordinary meetings of a committee, to determine the number and time of its meetings;
- iv. shall, subject to standing orders 4(b) and (c), appoint and determine the terms of office of members of such a committee;
- v. shall, after it has appointed the members of a standing committee, appoint the chairman of the standing committee;

- vi. shall permit a committee other than a standing committee, to appoint its own chairman at the first meeting of the committee;
- vii. shall determine the place, notice requirements and quorum for a meeting of a committee which shall be no less than three;
- viii. shall determine if the public may participate at a meeting of a committee;
- ix. may dissolve a committee.

5. ORDINARY COUNCIL MEETINGS

- a **In an election year, the annual meeting of the Council shall be held on or within 14 days following the day on which the councillors elected take office.**
- b **In a year which is not an election year, the annual meeting of the Council shall be held on such day in May as the Council decides.**
- c **If no other time is fixed, the annual meeting of the Council shall take place at 6pm.**
- d **In addition to the annual meeting of the Council, at least three other ordinary meetings shall be held in each year on such dates and times as the Council decides.**
- e **The first business conducted at the annual meeting of the Council shall be the election of the Chairman and Vice-Chairman of the Council.**
- f **The Chairman of the Council, unless he has resigned or becomes disqualified, shall continue in office and preside at the annual meeting until his successor is elected at the next annual meeting of the Council.**
- g **The Vice-Chairman of the Council, unless he resigns or becomes disqualified, shall hold office until immediately after the election of the Chairman of the Council at the next annual meeting of the Council.**
- h **In an election year, if the current Chairman of the Council has not been re-elected as a member of the Council, he shall preside at the annual meeting until a successor Chairman of the Council has been elected. The current Chairman of the Council shall not have an original vote in respect of the election of the new Chairman of the Council but shall give a casting vote in the case of an equality of votes.**
- i **In an election year, if the current Chairman of the Council has been re-elected as a member of the Council, he shall preside at the annual meeting until a new Chairman of the Council has been elected. He may exercise an original vote in respect of the election of the new Chairman of the Council and shall give a casting vote in the case of an equality of votes.**
- j Following the election of the Chairman of the Council and Vice-Chairman of the Council at the annual meeting, the business shall include:
 - i. **In an election year, delivery by the Chairman of the Council and councillors of their acceptance of office forms unless the Council resolves for this to be done at a later date. In a year which is not an election year, delivery by the Chairman of the Council of his acceptance of office form unless the Council resolves for this to be done at a later date;**
 - ii. Confirmation of the accuracy of the minutes of the last meeting of the Council;
 - iii. Receipt of the minutes of the last meeting of a committee;
 - iv. Consideration of the recommendations made by a committee;
 - v. Review of delegation arrangements to committees, staff and other local authorities;
 - vi. Appointment of members to existing committees;

- vii. Appointment of any new committees in accordance with standing order 4;
- viii. Review and adoption of appropriate standing orders and financial regulations;
- ix. Review of arrangements (including legal agreements) with other local authorities, not-for-profit bodies and businesses.
- x. Review of representation on or work with external bodies and arrangements for reporting back;
- xi. In an election year, to make arrangements with a view to the Council becoming eligible to exercise the general power of competence in the future;
- xii. Review of inventory of land and other assets including buildings and office equipment;
- xiii. Confirmation of arrangements for insurance cover in respect of all insurable risks;
- xiv. Review of the Council's and/or staff subscriptions to other bodies;
- xv. Review of the Council's employment policies and procedures;
- xvi. Review of the Council's expenditure incurred under s.137 of the Local Government Act 1972 or the general power of competence.

6. EXTRAORDINARY MEETINGS OF THE COUNCIL AND COMMITTEES

- a **The Chairman of the Council may convene an extraordinary meeting of the Council at any time.**
- b **If the Chairman of the Council does not call an extraordinary meeting of the Council within seven days of having been requested in writing to do so by two councillors, any two councillors may convene an extraordinary meeting of the Council. The public notice giving the time, place and agenda for such a meeting shall be signed by the two councillors.**
- c The chairman of a committee may convene an extraordinary meeting of the committee at any time.
- d If the chairman of a committee does not call an extraordinary meeting within 7 days of having been requested to do so by 2 members of the committee any 2 members of the committee may convene an extraordinary meeting of the committee.

7. PREVIOUS RESOLUTIONS

- a A resolution shall not be reversed within six months except either by a special motion, which requires written notice by at least 2 councillors to be given to the Proper Officer in accordance with standing order 9, or by a motion moved in pursuance of the recommendation of a committee.
- b When a motion moved pursuant to standing order 7(a) has been disposed of, no similar motion may be moved for a further six months.

8. VOTING ON APPOINTMENTS

Where more than two persons have been nominated for a position to be filled by the Council and none of those persons has received an absolute majority of votes in their favour, the name of the person having the least number of votes shall be struck off the list and a fresh vote taken. This process shall continue until a majority of votes is given in favour of one person. A tie in votes may be settled by the casting vote exercisable by the chairman of the meeting.

9. MOTIONS FOR A MEETING THAT REQUIRE WRITTEN NOTICE TO BE GIVEN TO THE PROPER OFFICER

- a A motion shall relate to the responsibilities of the meeting for which it is tabled and in any event shall relate to the performance of the Council's statutory functions, powers and obligations or an issue which specifically affects the Council's area or its residents.
- b No motion may be moved at a meeting unless it is on the agenda and the mover has given written notice of its wording to the Proper Officer at least 7 clear days before the meeting. Clear days do not include the day of the notice or the day of the meeting.
- c The Proper Officer may, before including a motion on the agenda received in accordance with standing order 9(b), correct obvious grammatical or typographical errors in the wording of the motion.
- d If the wording or subject of a proposed motion is considered improper, the Proper Officer shall consult with the chairman of the forthcoming meeting or, as the case may be, the councillors who have convened the meeting, to consider whether the motion shall be included in the agenda or rejected.
- e The decision of the Proper Officer as to whether or not to include the motion on the agenda shall be final.
- f Motions received shall be recorded and numbered in the order that they are received.
- g Motions rejected shall be recorded with an explanation by the Proper Officer of the reason for rejection.

10. MOTIONS AT A MEETING THAT DO NOT REQUIRE WRITTEN NOTICE

- a The following motions may be moved at a meeting without written notice to the Proper Officer:
 - i. to correct an inaccuracy in the draft minutes of a meeting;
 - ii. to move to a vote;
 - iii. to defer consideration of a motion;
 - iv. to refer a motion to a particular committee;
 - v. to appoint a person to preside at a meeting;
 - vi. to change the order of business on the agenda;
 - vii. to proceed to the next business on the agenda;
 - viii. to require a written report;
 - ix. to appoint a committee and their members;
 - x. to extend the time limits for speaking;
 - xi. to exclude the press and public from a meeting in respect of confidential or other information which is prejudicial to the public interest;
 - xii. to not hear further from a councillor or a member of the public;
 - xiii. to exclude a councillor or member of the public for disorderly conduct;
 - xiv. to temporarily suspend the meeting;
 - xv. to suspend a particular standing order (unless it reflects mandatory statutory or legal requirements);
 - xvi. to adjourn the meeting; or
 - xvii. to close the meeting.

11. MANAGEMENT OF INFORMATION *See also standing order 20.*

- a **The Council shall have in place and keep under review, technical and organisational measures to keep secure information (including personal data) which it holds in paper and electronic form. Such arrangements shall include deciding who has access to personal data and encryption of personal data.**

- b **The Council shall have in place, and keep under review, policies for the retention and safe destruction of all information (including personal data) which it holds in paper and electronic form. The Council’s retention policy shall confirm the period for which information (including personal data) shall be retained or if this is not possible the criteria used to determine that period (e.g. the Limitation Act 1980).**
- c **The agenda, papers that support the agenda and the minutes of a meeting shall not disclose or otherwise undermine confidential information or personal data without legal justification.**
- d **Councillors, staff, the Council’s contractors and agents shall not disclose confidential information or personal data without legal justification.**

12. DRAFT MINUTES

Full Council meetings ● Committee meetings ●

- a If the draft minutes of a preceding meeting have been served on councillors with the agenda to attend the meeting at which they are due to be approved for accuracy, they shall be taken as read.
- b There shall be no discussion about the draft minutes of a preceding meeting except in relation to their accuracy. A motion to correct an inaccuracy in the draft minutes shall be moved in accordance with standing order 10(a)(i).
- c The accuracy of draft minutes, including any amendment(s) made to them, shall be confirmed by resolution and shall be signed by the chairman of the meeting and stand as an accurate record of the meeting to which the minutes relate.
- d If the chairman of the meeting does not consider the minutes to be an accurate record of the meeting to which they relate, he shall sign the minutes and include a paragraph in the following terms or to the same effect:

“The chairman of this meeting does not believe that the minutes of the meeting of the () held on [date] in respect of () were a correct record but his view was not upheld by the meeting and the minutes are confirmed as an accurate record of the proceedings.”
- e **If the Council’s gross annual income or expenditure (whichever is higher) does not exceed £25,000, it shall publish draft minutes on a website which is publicly accessible and free of charge not later than one month after the meeting has taken place.**
- f Subject to the publication of draft minutes in accordance with standing order 12(e) and standing order 20(a) and following a resolution which confirms the accuracy of the minutes of a meeting, the draft minutes or recordings of the meeting for which approved minutes exist shall be destroyed.

13. CODE OF CONDUCT AND DISPENSATIONS *See also standing order 3(u).*

- a All councillors and non-councillors with voting rights shall observe the code of conduct adopted by the Council.
- b Unless he has been granted a dispensation, a councillor or non-councillor with voting rights shall withdraw from a meeting when it is considering a matter in which he has a disclosable pecuniary interest. He may return to the meeting after it has considered the matter in which he had the interest.
- c Unless he has been granted a dispensation, a councillor or non-councillor with voting rights shall withdraw from a meeting when it is considering a matter in which he has another interest if so required by the Council’s code of conduct. He may return to the meeting after it has considered the matter in which he had the interest.

- d **Dispensation requests shall be in writing and submitted to the Proper Officer** as soon as possible before the meeting, or failing that, at the start of the meeting for which the dispensation is required.
- e A decision as to whether to grant a dispensation shall be made by a meeting of the Council, or committee for which the dispensation is required and that decision is final.
- f A dispensation request shall confirm:
 - i. the description and the nature of the disclosable pecuniary interest or other interest to which the request for the dispensation relates;
 - ii. whether the dispensation is required to participate at a meeting in a discussion only or a discussion and a vote;
 - iii. the date of the meeting or the period (not exceeding four years) for which the dispensation is sought; and
 - iv. an explanation as to why the dispensation is sought.
- g Subject to standing orders 13(d) and (f), a dispensation request shall be considered at the beginning of the meeting of the Council, or committee for which the dispensation is required.
- h **A dispensation may be granted in accordance with standing order 13(e) if having regard to all relevant circumstances any of the following apply:**
 - i. **without the dispensation the number of persons prohibited from participating in the particular business would be so great a proportion of the meeting transacting the business as to impede the transaction of the business;**
 - ii. **granting the dispensation is in the interests of persons living in the Council's area; or**
 - iii. **it is otherwise appropriate to grant a dispensation.**

14. CODE OF CONDUCT COMPLAINTS

- a Upon notification by the District Council that it is dealing with a complaint that a councillor or non-councillor with voting rights has breached the Council's code of conduct, the Proper Officer shall, subject to standing order 11, report this to the Council.
- b Where the notification in standing order 14(a) relates to a complaint made by the Proper Officer, the Proper Officer shall notify the Chairman of Council of this fact, and the Chairman shall nominate another staff member to assume the duties of the Proper Officer in relation to the complaint until it has been determined and the Council has agreed what action, if any, to take in accordance with standing order 14(d).
- c The Council may:
 - i. provide information or evidence where such disclosure is necessary to investigate the complaint or is a legal requirement;
 - ii. seek information relevant to the complaint from the person or body with statutory responsibility for investigation of the matter;
- d **Upon notification by the District Council that a councillor or non-councillor with voting rights has breached the Council's code of conduct, the Council shall consider what, if any, action to take against him. Such action excludes disqualification or suspension from office.**

15. PROPER OFFICER

- a The Proper Officer shall be either (i) the clerk or (ii) other staff member(s) nominated by the Council to undertake the work of the Proper Officer when the Proper Officer is absent.

- b The Proper Officer shall:
- i. **at least three clear days before a meeting of the council, or a committee,**
 - **serve on councillors by delivery or post at their residences or by email authenticated in such manner as the Proper Officer thinks fit, a signed summons confirming the time, place and the agenda (provided the councillor has consented to service by email), and**
 - **Provide, in a conspicuous place, public notice of the time, place and agenda (provided that the public notice with agenda of an extraordinary meeting of the Council convened by councillors is signed by them).**

See standing order 3(b) for the meaning of clear days for a meeting of a full council and standing order 3(c) for the meaning of clear days for a meeting of a committee;
 - ii. subject to standing order 9, include on the agenda all motions in the order received unless a councillor has given written notice confirming his withdrawal of it;
 - iii. **convene a meeting of the Council for the election of a new Chairman of the Council, occasioned by a casual vacancy in his office;**
 - iv. **facilitate inspection of the minute book by local government electors;**
 - v. **receive and retain copies of byelaws made by other local authorities;**
 - vi. hold acceptance of office forms from councillors;
 - vii. hold a copy of every councillor's register of interests;
 - viii. assist with responding to requests made under freedom of information legislation and rights exercisable under data protection legislation, in accordance with the Council's relevant policies and procedures;
 - ix. liaise, as appropriate, with the Council's Data Protection Officer;
 - x. receive and send general correspondence and notices on behalf of the Council except where there is a resolution to the contrary;
 - xi. assist in the organisation of, storage of, access to, security of and destruction of information held by the Council in paper and electronic form subject to the requirements of data protection and freedom of information legislation and other legitimate requirements (e.g. the Limitation Act 1980);
 - xii. arrange for legal deeds to be executed; (*see also standing order 23*);
 - xiii. arrange or manage the prompt authorisation, approval, and instruction regarding any payments to be made by the Council in accordance with its financial regulations;
 - xiv. record every planning application notified to the Council and the Council's response to the local planning authority;
 - xv. manage access to information about the Council via the publication scheme.

16. RESPONSIBLE FINANCIAL OFFICER

- a The Council shall appoint appropriate staff member(s) to undertake the work of the Responsible Financial Officer when the Responsible Financial Officer is absent.

17. ACCOUNTS AND ACCOUNTING STATEMENTS

- a “Proper practices” in standing orders refer to the most recent version of “Governance and Accountability for Local Councils – a Practitioners’ Guide”.
- b All payments by the Council shall be authorised, approved and paid in accordance with the law, proper practices and the Council’s financial regulations.
- c The Responsible Financial Officer shall supply to each councillor as soon as practicable after 30 June, 30 September and 31 December in each year a statement to summarise:
 - i. the Council’s receipts and payments (or income and expenditure) for the year to date;
 - ii. the balances held at the end of the period being reported andwhich includes a comparison with the budget for the financial year and highlights any actual or potential overspends.
- d As soon as possible after the financial year end at 31 March, the Responsible Financial Officer shall provide:
 - i. each councillor with a statement summarising the Council’s receipts and payments (or income and expenditure) for the last quarter and the year to date for information; and
 - ii. to the Council the accounting statements for the year in the form of the annual governance and accountability return, as required by proper practices, for consideration and approval.
- e The year-end accounting statements shall be prepared in accordance with proper practices and apply the form of accounts determined by the Council for the year to 31 March. The annual governance and accountability return of the Council, which is subject to external audit, including the annual governance statement, shall be presented to the Council for consideration and formal approval before 30 June.

18. FINANCIAL CONTROLS AND PROCUREMENT

- a. The Council shall consider and approve financial regulations drawn up by the Responsible Financial Officer, which shall include detailed arrangements in respect of the following:
 - i. the keeping of accounting records and systems of internal controls;
 - ii. the assessment and management of financial risks faced by the Council;
 - iii. the work of the independent internal auditor in accordance with proper practices and the receipt of regular reports from the internal auditor, which shall be required at least annually;
 - iv. the inspection and copying by councillors and local electors of the Council’s accounts and/or orders of payments; and
 - v. whether contracts with an estimated value below **£25,000** due to special circumstances are exempt from a tendering process or procurement exercise.
- b. Financial regulations shall be reviewed regularly and at least annually for fitness of purpose.
- c. **A public contract regulated by the Public Contracts Regulations 2015 with an estimated value in excess of £25,000 but less than the relevant thresholds in standing order 18(f) is subject to Regulations 109-114 of the Public Contracts Regulations 2015 which include a requirement on the Council to advertise the contract opportunity on the Contracts Finder website regardless of what other means it uses to advertise the opportunity.**

- d. **A public contract regulated by the Public Contracts Regulations 2015 with an estimated value in excess of £181,302 for a public service or supply contract or in excess of £4,551,413 for a public works contract (or other thresholds determined by the European Commission every two years and published in the Official Journal of the European Union (OJEU)) shall comply with the relevant procurement procedures and other requirements in the Public Contracts Regulations 2015 which include advertising the contract opportunity on the Contracts Finder website and in OJEU.**
- e. **A public contract in connection with the supply of gas, heat, electricity, drinking water, transport services, or postal services to the public; or the provision of a port or airport; or the exploration for or extraction of gas, oil or solid fuel with an estimated value in excess of £363,424 for a supply, services or design contract; or in excess of £4,551,413 for a works contract; or £820,370 for a social and other specific services contract (or other thresholds determined by the European Commission every two years and published in OJEU) shall comply with the relevant procurement procedures and other requirements in the Utilities Contracts Regulations 2016.**

19. HANDLING STAFF MATTERS

- a. **A matter personal to a member of staff that is being considered by a meeting of Council or a committee is subject to standing order 11.**
- b. **Any persons responsible for all or part of the management of staff shall treat as confidential the written records of all meetings relating to their performance, capabilities, grievance or disciplinary matters.**
- c. **In accordance with standing order 11(a), persons with line management responsibilities shall have access to relevant staff records.**

20. RESPONSIBILITIES TO PROVIDE INFORMATION *See also standing order 21.*

- a. **In accordance with freedom of information legislation, the Council shall publish information in accordance with its publication scheme and respond to requests for information held by the Council.**
- b. **[If gross annual income or expenditure (whichever is higher) does not exceed £25,000] The Council shall publish information in accordance with the requirements of the Smaller Authorities (Transparency Requirements) (England) Regulations 2015.**

21. RESPONSIBILITIES UNDER DATA PROTECTION LEGISLATION

See also standing order 11.

- a. **The Council may appoint a Data Protection Officer.**
- b. **The Council shall have policies and procedures in place to respond to an individual exercising statutory rights concerning his personal data.**
- c. **The Council shall have a written policy in place for responding to and managing a personal data breach.**
- d. **The Council shall keep a record of all personal data breaches comprising the facts relating to the personal data breach, its effects and the remedial action taken.**
- e. **The Council shall ensure that information communicated in its privacy notice(s) is in an easily accessible and available form and kept up to date.**
- f. **The Council shall maintain a written record of its processing activities.**

22. RELATIONS WITH THE PRESS/MEDIA

Requests from the press or other media for an oral or written comment or statement from the Council, its councillors or staff shall be handled in accordance with the Council's policy in respect of dealing with the press and/or other media.

23. EXECUTION OF LEGAL DEEDS *See also standing orders 15(b)(xii) and (xvii).*

- a A legal deed shall not be executed on behalf of the Council unless authorised by a resolution.
- b **Subject to standing order 23(a), any two councillors may sign, on behalf of the Council, any deed required by law and the Proper Officer shall witness their signatures.**

24. COMMUNICATING WITH DISTRICT AND COUNTY COUNCILLORS

An invitation to attend a meeting of the Council shall be sent, together with the agenda, to the ward councillor(s) of the District and County Council representing the area of the Council.

25. RESTRICTIONS ON COUNCILLOR ACTIVITIES

- a Unless duly authorised no councillor shall:
 - i inspect any land and/or premises which the Council has a right or duty to inspect; or
 - ii issue orders, instructions or directions.

26. STANDING ORDERS GENERALLY

- a All or part of a standing order, except one that incorporates mandatory statutory or legal requirements, may be suspended by resolution in relation to the consideration of an item on the agenda for a meeting.
- b A motion to add to or vary or revoke one or more of the Council's standing orders, except one that incorporates mandatory statutory or legal requirements, shall be proposed by a special motion, the written notice by at least 2 councillors to be given to the Proper Officer in accordance with standing order 9.
- c The Proper Officer shall provide a copy of the Council's standing orders to each councillor.
- d The decision of the chairman of a meeting as to the application of standing orders at the meeting shall be final.

* * *

Hints with Canwell Parish Council – Financial Regulations

These Financial Regulations were adopted by the Council at its Meeting on January 11th 2022

1. GENERAL

- 1.1. These financial regulations govern the conduct of financial management by the council and may only be amended or varied by resolution of the council. Financial regulations are one of the council's three governing policy documents providing procedural guidance for members and officers. Financial regulations must be observed in conjunction with the council's standing orders and any individual financial regulations relating to contracts.
- 1.2. The council is responsible in law for ensuring that its financial management is adequate and effective and that the council has a sound system of internal control which facilitates the effective exercise of the council's functions, including arrangements for the management of risk.
- 1.3. The council's accounting control systems must include measures:
 - for the timely production of accounts;
 - that provide for the safe and efficient safeguarding of public money;
 - to prevent and detect inaccuracy and fraud; and
 - identifying the duties of officers.
- 1.4. These financial regulations demonstrate how the council meets these responsibilities and requirements.
- 1.5. At least once a year, prior to approving the Annual Governance Statement, the council must review the effectiveness of its system of internal control which shall be in accordance with proper practices.
- 1.6. Deliberate or wilful breach of these Regulations by an employee may give rise to disciplinary proceedings.
- 1.7. Members of Council are expected to follow the instructions within these Regulations and not to entice employees to breach them. Failure to follow instructions within these Regulations brings the office of councillor into disrepute.
- 1.8. The Responsible Financial Officer (RFO) holds a statutory office to be appointed by the council. The Clerk has been appointed as RFO for this council and these regulations will apply accordingly.
- 1.9. The RFO;
 - acts under the policy direction of the council;
 - administers the council's financial affairs in accordance with all Acts, Regulations and proper practices;
 - determines on behalf of the council its accounting records and accounting control systems;
 - ensures the accounting control systems are observed;
 - maintains the accounting records of the council up to date in accordance with proper practices;
 - assists the council to secure economy, efficiency and effectiveness in the use of its resources; and
 - produces financial management information as required by the council.
- 1.10. The accounting records determined by the RFO shall be sufficient to show and explain the council's transactions and to enable the RFO to ensure that any income and expenditure

account and statement of balances, or record of receipts and payments and additional information, as the case may be, or management information prepared for the council from time to time comply with the Accounts and Audit Regulations.

- 1.11. The accounting records determined by the RFO shall in particular contain:
- entries from day to day of all sums of money received and expended by the council and the matters to which the income and expenditure or receipts and payments account relate;
 - a record of the assets and liabilities of the council; and
 - wherever relevant, a record of the council's income and expenditure in relation to claims made, or to be made, for any contribution, grant or subsidy.
- 1.12. The accounting control systems determined by the RFO shall include:
- procedures to ensure that the financial transactions of the council are recorded as soon as reasonably practicable and as accurately and reasonably as possible;
 - procedures to enable the prevention and detection of inaccuracies and fraud and the ability to reconstruct any lost records;
 - identification of the duties of officers dealing with financial transactions and division of responsibilities of those officers in relation to significant transactions;
 - procedures to ensure that uncollectable amounts, including any bad debts are not submitted to the council for approval to be written off except with the approval of the RFO and that the approvals are shown in the accounting records; and
 - measures to ensure that risk is properly managed.
- 1.13. The council is not empowered by these Regulations or otherwise to delegate certain specified decisions. In particular any decision regarding:
- setting the final budget or the precept (council tax requirement);
 - approving accounting statements;
 - approving an annual governance statement;
 - borrowing;
 - writing off bad debts;
 - declaring eligibility for the General Power of Competence; and
 - addressing recommendations in any report from the internal or external auditors,
- shall be a matter for the full council only.
- 1.14. In addition the council must:
- determine and keep under regular review the bank mandate for all council bank accounts;
 - approve any grant or a single commitment in excess of £1,000; and
 - in respect of the annual salary for any employee have regard to recommendations about annual salaries of employees made by the relevant committee in accordance with its terms of reference.
- 1.15. In these financial regulations, references to the Accounts and Audit Regulations or 'the regulations' shall mean the regulations issued under the provisions of section 27 of the Audit Commission Act 1998, or any superseding legislation, and then in force unless otherwise specified.

In these financial regulations the term 'proper practice' or 'proper practices' shall refer to guidance issued in *Governance and Accountability for Local Councils - a Practitioners' Guide (England)* issued by the Joint Practitioners Advisory Group (JPAG), available from the websites of NALC and the Society for Local Council Clerks (SLCC).

2. ACCOUNTING AND AUDIT (INTERNAL AND EXTERNAL)

- 2.1. All accounting procedures and financial records of the council shall be determined by the RFO in accordance with the Accounts and Audit Regulations, appropriate guidance and proper practices.
- 2.2. On a regular basis, at least once in each quarter, and at each financial year end, a member other than the Chairman shall be appointed to verify bank reconciliations (for all accounts) produced by the RFO. The member shall sign the reconciliations and the original bank statements (or similar document) as evidence of verification. This activity shall on conclusion be reported, including any exceptions, to and noted by the council.
- 2.3. The RFO shall complete the annual statement of accounts, annual report, and any related documents of the council contained in the Annual Return (as specified in proper practices) as soon as practicable after the end of the financial year and having certified the accounts shall submit them and report thereon to the council within the timescales set by the Accounts and Audit Regulations.
- 2.4. The council shall ensure that there is an adequate and effective system of internal audit of its accounting records, and of its system of internal control in accordance with proper practices. Any officer or member of the council shall make available such documents and records as appear to the council to be necessary for the purpose of the audit and shall, as directed by the council, supply the RFO, internal auditor, or external auditor with such information and explanation as the council considers necessary for that purpose.
- 2.5. The internal auditor shall be appointed by and shall carry out the work in relation to internal controls required by the council in accordance with proper practices.
- 2.6. The internal auditor shall:
 - be competent and independent of the financial operations of the council;
 - report to council in writing, or in person, on a regular basis with a minimum of one annual written report during each financial year;
 - to demonstrate competence, objectivity and independence, be free from any actual or perceived conflicts of interest, including those arising from family relationships; and
 - have no involvement in the financial decision making, management or control of the council.
- 2.7. Internal or external auditors may not under any circumstances:
 - perform any operational duties for the council;
 - initiate or approve accounting transactions; or
 - direct the activities of any council employee, except to the extent that such employees have been appropriately assigned to assist the internal auditor.
- 2.8. For the avoidance of doubt, in relation to internal audit the terms 'independent' and 'independence' shall have the same meaning as is described in proper practices.
- 2.9. The RFO shall make arrangements for the exercise of electors' rights in relation to the accounts including the opportunity to inspect the accounts, books, and vouchers and display or publish any notices and statements of account required by Audit Commission Act 1998, or any superseding legislation, and the Accounts and Audit Regulations.
- 2.10. The RFO shall, without undue delay, bring to the attention of all councillors any correspondence or report from internal or external auditors.

3. ANNUAL ESTIMATES (BUDGET) AND FORWARD PLANNING

- 3.1. The RFO must each year, by no later than January, prepare detailed estimates of all receipts and payments including the use of reserves and all sources of funding for the following financial year in the form of a budget to be considered by the council.
- 3.2. The council shall consider annual budget proposals in relation to the council's three year forecast of revenue and capital receipts and payments including recommendations for the use of reserves and sources of funding and update the forecast accordingly.
- 3.3. The council shall fix the precept (council tax requirement), and relevant basic amount of council tax to be levied for the ensuing financial year not later than by the end of January each year. The RFO shall issue the precept to the billing authority and shall supply each member with a copy of the approved annual budget.
- 3.4. The approved annual budget shall form the basis of financial control for the ensuing year.

4. BUDGETARY CONTROL AND AUTHORITY TO SPEND

- 4.1. Expenditure on revenue items may be authorised up to the amounts included for that class of expenditure in the approved budget. This authority is to be determined by:
 - the council for all items over £500 [**£5,000 NALC**];
 - the Clerk, in conjunction with Chairman of Council or Chairman of the appropriate committee, for any items below £500.

Such authority is to be evidenced by a minute or by email.

Contracts may not be disaggregated to avoid controls imposed by these regulations.

- 4.2. No expenditure may be authorised that will exceed the amount provided in the revenue budget for that class of expenditure other than by resolution of the council, or duly delegated committee. During the budget year and with the approval of council having considered fully the implications for public services, unspent and available amounts may be moved to other budget headings or to an earmarked reserve as appropriate ('virement').
- 4.3. Unspent provisions in the revenue or capital budgets for completed projects shall not be carried forward to a subsequent year.
- 4.4. The salary budgets are to be reviewed at least annually for the following financial year and such review shall be evidenced by a hard copy schedule signed by the Clerk and the Chairman of Council or relevant committee. The RFO will inform committees of any changes impacting on their budget requirement for the coming year in good time.
- 4.5. In cases of extreme risk to the delivery of council services, the clerk may authorise revenue expenditure on behalf of the council which in the clerk's judgement it is necessary to carry out. Such expenditure includes repair, replacement or other work, whether or not there is any budgetary provision for the expenditure, subject to a limit of £500. The Clerk shall report such action to the chairman as soon as possible and to the council as soon as practicable thereafter.
- 4.6. No expenditure shall be authorised in relation to any capital project and no contract entered into or tender accepted involving capital expenditure unless the council is satisfied that the necessary funds are available and the requisite borrowing approval has been obtained.
- 4.7. All capital works shall be administered in accordance with the council's standing orders and financial regulations relating to contracts.

- 4.8. The RFO shall regularly provide the council with a statement of receipts and payments to date under each head of the budgets, comparing actual expenditure to the appropriate date against that planned as shown in the budget. These statements are to be prepared at least at the end of each financial quarter and shall show explanations of material variances. For this purpose “material” shall be in excess of £100 or 15% of the budget.
- 4.9. Changes in earmarked reserves shall be approved by council as part of the budgetary control process.

5. BANKING ARRANGEMENTS AND AUTHORISATION OF PAYMENTS

- 5.1. The council's banking arrangements, including the bank mandate, shall be made by the RFO and approved by the council; banking arrangements may not be delegated to a committee. They shall be regularly reviewed for safety and efficiency.
- 5.2. The RFO shall prepare a schedule of payments requiring authorisation, forming part of the Agenda for the Meeting and, together with the relevant invoices, present the schedule to council. The council / committee shall review the schedule for compliance and, having satisfied itself shall authorise payment by a resolution of the council. The approved schedule shall be signed by the Chairman of the Meeting. A detailed list of all payments shall be disclosed within or as an attachment to the minutes of the meeting at which payment was authorised. Personal payments (including salaries, wages, expenses and any payment made in relation to the termination of a contract of employment) may be summarised to remove public access to any personal information.
- 5.3. All invoices for payment shall be examined, verified and certified by the RFO to confirm that the work, goods or services to which each invoice relates has been received, carried out, examined and represents expenditure previously approved by the council.
- 5.4. The RFO shall examine invoices for arithmetical accuracy and analyse them to the appropriate expenditure heading. The RFO shall take all steps to pay all invoices submitted, and which are in order, at the next available council meeting.
- 5.5. The Clerk and RFO shall have delegated authority to authorise the payment of items only in the following circumstances:
- a) If a payment is necessary to avoid a charge to interest under the Late Payment of Commercial Debts (Interest) Act 1998, and the due date for payment is before the next scheduled Meeting of council, where the Clerk and RFO certify that there is no dispute or other reason to delay payment, provided that a list of such payments shall be submitted to the next appropriate meeting of council;
 - b) An expenditure item authorised under 5.6 below (continuing contracts and obligations) provided that a list of such payments shall be submitted to the next appropriate meeting of council; or
 - c) fund transfers within the councils banking arrangements up to the sum of £10,000, provided that a list of such payments shall be submitted to the next appropriate meeting of council.
- 5.6. For each financial year the Clerk and RFO shall draw up a list of due payments which arise on a regular basis as the result of a continuing contract, statutory duty, or obligation (such as but not exclusively) Salaries, PAYE and NI, Superannuation Fund and regular maintenance contracts and the like for which council may authorise payment for the year provided that the

requirements of regulation 4.1 (Budgetary Controls) are adhered to, provided also that a list of such payments shall be submitted to the next appropriate meeting of council.

- 5.7. In respect of grants a duly authorised committee shall approve expenditure within any limits set by council and in accordance with any policy statement approved by council. Any Revenue or Capital Grant in excess of £5,000 shall before payment, be subject to ratification by resolution of the council.
- 5.8. Members are subject to the Code of Conduct that has been adopted by the council and shall comply with the Code and Standing Orders when a decision to authorise or instruct payment is made in respect of a matter in which they have a disclosable pecuniary or other interest, unless a dispensation has been granted.
- 5.9. The council will aim to rotate the duties of members in these Regulations so that onerous duties are shared out as evenly as possible over time.
- 5.10. Any changes in the recorded details of suppliers, such as bank account records, shall be approved in writing by a Member.

6. INSTRUCTIONS FOR THE MAKING OF PAYMENTS

- 6.1. The council will make safe and efficient arrangements for the making of its payments.
- 6.2. Following authorisation under Financial Regulation 5 above, the council or, if so delegated, the Clerk or RFO shall give instruction that a payment shall be made.
- 6.3. All payments shall be effected by cheque or other instructions to the council's bankers, or otherwise, in accordance with a resolution of council.
- 6.4. Cheques or orders for payment drawn on the bank account in accordance with the schedule as presented to council or committee shall be signed by two members of council, and countersigned by the Clerk, in accordance with a resolution instructing that payment. A member who is a bank signatory, having a connection by virtue of family or business relationships with the beneficiary of a payment, should not, under normal circumstances, be a signatory to the payment in question.
- 6.5. To indicate agreement of the details shown on the cheque or order for payment with the counterfoil and the invoice or similar documentation, the signatories shall each also initial the cheque counterfoil.
- 6.6. Cheques or orders for payment shall not normally be presented for signature other than at a council or committee meeting (including immediately before or after such a meeting). Any signatures obtained away from such meetings shall be reported to the council at the next convenient meeting.
- 6.7. If thought appropriate by the council, payment for utility supplies (energy, telephone and water) and any National Non-Domestic Rates may be made by variable direct debit provided that the instruction to authorise the Clerk to make such instruction is resolved by Council. The approval of the use of a variable direct debit shall be renewed by resolution of the council at least every two years.
- 6.8. If thought appropriate by the council, payment for certain items (principally salaries) may be made by banker's standing order provided that the instructions are signed, or otherwise evidenced by two members are retained and any payments are reported to council as made. The approval of the use of a banker's standing order shall be renewed by resolution of the council at least every two years.

- 6.9. Where possible payment should be made by BACS, bank transfer or CHAPS methods provided that the instructions for each payment is either resolved by Council or authorised by email as per 4.1. and any payments are reported to council as made. The approval of the use of BACS or CHAPS shall be renewed by resolution of the council at least every two years.
- 6.10. Where a computer requires use of a personal identification number (PIN) or other password(s), for access to the council's records on that computer, a note shall be made of the PIN and Passwords and shall be handed to and retained by the Chairman of Council in a sealed dated envelope. This envelope may not be opened other than in the presence of two other councillors. After the envelope has been opened, in any circumstances, the PIN and / or passwords shall be changed as soon as practicable. The fact that the sealed envelope has been opened, in whatever circumstances, shall be reported to all members immediately and formally to the next available meeting of the council. This will not be required for a member's personal computer used only for remote authorisation of bank payments.
- 6.11. No employee or councillor shall disclose any PIN or password, relevant to the working of the council or its bank accounts, to any person not authorised in writing by the council or a duly delegated committee.
- 6.12. Regular back-up copies of the records on any computer shall be made and shall be stored securely away from the computer in question, and preferably off site.
- 6.13. The council, and any members using computers for the council's financial business, shall ensure that anti-virus, anti-spyware and firewall software with automatic updates, together with a high level of security, is used.
- 6.14. Where internet banking arrangements are made with any bank, the Clerk shall be appointed as the Service Administrator. The bank mandate approved by the council shall identify a number of councillors who will be authorised to approve transactions on those accounts. The bank mandate will state clearly the amounts of payments that can be instructed by the use of the Service Administrator alone, or by the Service Administrator with a stated number of approvals.
- 6.15. Access to any internet banking accounts will be directly to the access page (which may be saved under "favourites"), and not through a search engine or e-mail link. Remembered or saved passwords facilities must not be used on any computer used for council banking work. Breach of this Regulation will be treated as a very serious matter under these regulations.
- 6.16. Changes to account details for suppliers, which are used for internet banking may only be changed on written hard copy notification by the supplier and supported by hard copy authority for change signed by two of the Clerk and a member. A programme of regular checks of standing data with suppliers will be followed.
- 6.17. The council will not maintain any form of cash float. All cash received must be banked intact. Any payments made in cash by the Clerk or RFO (for example for postage or minor stationery items) shall be refunded on a regular basis, at least quarterly.

7. PAYMENT OF SALARIES

- 7.1. As an employer, the council shall make arrangements to meet fully the statutory requirements placed on all employers by PAYE and National Insurance legislation. The payment of all salaries shall be made in accordance with payroll records and the rules of PAYE and National Insurance currently operating, and salary rates shall be as agreed by council, or duly delegated committee.

- 7.2. Payment of salaries and payment of deductions from salary such as may be required to be made for tax, national insurance and pension contributions, or similar statutory or discretionary deductions must be made in accordance with the payroll records and on the appropriate dates stipulated in employment contracts, provided that each payment is reported to the next available council meeting, as set out in these regulations above.
- 7.3. No changes shall be made to any employee's pay, emoluments, or terms and conditions of employment without the prior consent of the council.
- 7.4. Each and every payment to employees of net salary and to the appropriate creditor of the statutory and discretionary deductions shall be recorded in a separate confidential record (confidential cash book). This confidential record is not open to inspection or review (under the Freedom of Information Act 2000 or otherwise) other than:
 - a) by any councillor who can demonstrate a need to know;
 - b) by the internal auditor;
 - c) by the external auditor; or
 - d) by any person authorised under Audit Commission Act 1998, or any superseding legislation.
- 7.5. The total of such payments in each calendar month shall be reported with all other payments as made as may be required under these Financial Regulations, to ensure that only payments due for the period have actually been paid.
- 7.6. An effective system of personal performance management should be maintained for the senior officers.
- 7.7. Any termination payments shall be supported by a clear business case and reported to the council. Termination payments shall only be authorised by council.
- 7.8. Before employing interim staff the council must consider a full business case.

8. LOANS AND INVESTMENTS

- 8.1. All borrowings shall be affected in the name of the council, after obtaining any necessary borrowing approval. Any application for borrowing approval shall be approved by Council as to terms and purpose. The application for borrowing approval, and subsequent arrangements for the loan shall only be approved by full council.
- 8.2. Any financial arrangement which does not require formal borrowing approval from the Secretary of State (such as Hire Purchase or Leasing of tangible assets) shall be subject to approval by the full council. In each case a report in writing shall be provided to council in respect of value for money for the proposed transaction.
- 8.3. The council will arrange with the council's banks and investment providers for the sending of a copy of each statement of account to the Chairman of the council at the same time as one is issued to the Clerk or RFO.
- 8.4. All loans and investments shall be negotiated in the name of the council and shall be for a set period in accordance with council policy.
- 8.5. The council shall consider the need for an Investment Strategy and Policy which, if drawn up, shall be in accordance with relevant regulations, proper practices and guidance. Any Strategy and Policy shall be reviewed by the council at least annually.
- 8.6. All investments of money under the control of the council shall be in the name of the council.

- 8.7. All investment certificates and other documents relating thereto shall be retained in the custody of the RFO.
- 8.8. Payments in respect of short term or long term investments, including transfers between bank accounts held in the same bank, or branch, shall be made in accordance with Regulation 5 (Authorisation of payments) and Regulation 6 (Instructions for payments).

9. INCOME

- 9.1. The collection of all sums due to the council shall be the responsibility of and under the supervision of the RFO.
- 9.2. Particulars of all charges to be made for work done, services rendered or goods supplied shall be agreed annually by the council, notified to the RFO and the RFO shall be responsible for the collection of all accounts due to the council.
- 9.3. The council will review all fees and charges at least annually, following a report of the Clerk.
- 9.4. Any sums found to be irrecoverable and any bad debts shall be reported to the council and shall be written off in the year.
- 9.5. All sums received on behalf of the council shall be banked intact as directed by the RFO. In all cases, all receipts shall be deposited with the council's bankers with such frequency as the RFO considers necessary.
- 9.6. The origin of each receipt shall be entered on the paying-in slip.
- 9.7. Personal cheques shall not be cashed out of money held on behalf of the council.
- 9.8. The RFO shall promptly complete any VAT Return that is required. Any repayment claim due in accordance with VAT Act 1994 section 33 shall be made at least annually coinciding with the financial year end.
- 9.9. Where any significant sums of cash are regularly received by the council, the RFO shall take such steps as are agreed by the council to ensure that more than one person is present when the cash is counted in the first instance, that there is a reconciliation to some form of control such as ticket issues, and that appropriate care is taken in the security and safety of individuals banking such cash.

10. ORDERS FOR WORK, GOODS AND SERVICES

- 10.1. An official order or letter shall be issued for all work, goods and services unless a formal contract is to be prepared or an official order would be inappropriate. Copies of orders shall be retained.
- 10.2. Order books shall be controlled by the RFO.
- 10.3. All members and officers are responsible for obtaining value for money at all times. An officer issuing an official order shall ensure as far as reasonable and practicable that the best available terms are obtained in respect of each transaction, usually by obtaining three or more quotations or estimates from appropriate suppliers, subject to any *de minimis* provisions in Regulation 11.1 below.
- 10.4. A member may not issue an official order or make any contract on behalf of the council.
- 10.5. The RFO shall verify the lawful nature of any proposed purchase before the issue of any order, and in the case of new or infrequent purchases or payments, the RFO shall ensure that the statutory authority shall be reported to the meeting at which the order is approved so that the minutes can record the power being used.

11. CONTRACTS

11.1. Procedures as to contracts are laid down as follows:

- a. Every contract shall comply with these financial regulations, and no exceptions shall be made otherwise than in an emergency provided that this regulation need not apply to contracts which relate to items (i) to (vi) below:
 - i. for the supply of gas, electricity, water, sewerage and telephone services;
 - ii. for specialist services such as are provided by solicitors, accountants, surveyors and planning consultants;
 - iii. for work to be executed or goods or materials to be supplied which consist of repairs to or parts for existing machinery or equipment or plant;
 - iv. for work to be executed or goods or materials to be supplied which constitute an extension of an existing contract by the council;
 - v. for additional audit work of the external auditor up to an estimated value of £500 (in excess of this sum the Clerk and RFO shall act after consultation with the Chairman and Vice Chairman of council); and
 - vi. for goods or materials proposed to be purchased which are proprietary articles and / or are only sold at a fixed price.
- b. Where the council intends to procure or award a public supply contract, public service contract or public works contract as defined by The Public Contracts Regulations 2015 (“the Regulations”) which is valued at £25,000 or more, the council shall comply with the relevant requirements of the Regulations¹.
- c. The full requirements of The Regulations, as applicable, shall be followed in respect of the tendering and award of a public supply contract, public service contract or public works contract which exceed thresholds in The Regulations set by the Public Contracts Directive 2014/24/EU (which may change from time to time)².
- d. When applications are made to waive financial regulations relating to contracts to enable a price to be negotiated without competition the reason shall be embodied in a recommendation to the council.
- e. Such invitation to tender shall state the general nature of the intended contract and the Clerk shall obtain the necessary technical assistance to prepare a specification in appropriate cases. The invitation shall in addition state that tenders must be addressed to the Clerk in the ordinary course of post. Each tendering firm shall be supplied with a specifically marked envelope in which the tender is to be sealed and remain sealed until the prescribed date for opening tenders for that contract.
- f. All sealed tenders shall be opened at the same time on the prescribed date by the Clerk in the presence of at least one member of council.
- g. Any invitation to tender issued under this regulation shall be subject to Standing Orders and shall refer to the terms of the Bribery Act 2010.

¹ The Regulations require councils to use the Contracts Finder website to advertise contract opportunities, set out the procedures to be followed in awarding new contracts and to publicise the award of new contracts

² Thresholds currently applicable are:

- a. For public supply and public service contracts 209,000 Euros (£164,176)
- b. For public works contracts 5,225,000 Euros (£4,104,394)

- h. When it is to enter into a contract of less than £25,000 in value for the supply of goods or materials or for the execution of works or specialist services other than such goods, materials, works or specialist services as are excepted as set out in paragraph (a) the Clerk or RFO shall obtain 3 quotations (priced descriptions of the proposed supply); where the value is below £3,000 and above £1,000 the Clerk or RFO shall strive to obtain 3 estimates. Otherwise, Regulation 10.3 above shall apply.
- i. The council shall not be obliged to accept the lowest or any tender, quote or estimate.
- j. Should it occur that the council, or duly delegated committee, does not accept any tender, quote or estimate, the work is not allocated and the council requires further pricing, provided that the specification does not change, no person shall be permitted to submit a later tender, estimate or quote who was present when the original decision making process was being undertaken.

12. PAYMENTS UNDER CONTRACTS FOR BUILDING OR OTHER CONSTRUCTION WORKS (PUBLIC WORKS CONTRACTS)

- 12.1. Payments on account of the contract sum shall be made within the time specified in the contract by the RFO upon authorised certificates of the architect or other consultants engaged to supervise the contract (subject to any percentage withholding as may be agreed in the particular contract).
- 12.2. Where contracts provide for payment by instalments the RFO shall maintain a record of all such payments. In any case where it is estimated that the total cost of work carried out under a contract, excluding agreed variations, will exceed the contract sum of 5% or more a report shall be submitted to the council.
- 12.3. Any variation to a contract or addition to or omission from a contract must be approved by the council and Clerk to the contractor in writing, the council being informed where the final cost is likely to exceed the financial provision.

13. ASSETS, PROPERTIES AND ESTATES

- 13.1. The Clerk shall make appropriate arrangements for the custody of all title deeds and Land Registry Certificates of properties held by the council. The RFO shall ensure a record is maintained of all properties held by the council, recording the location, extent, plan, reference, purchase details, nature of the interest, tenancies granted, rents payable and purpose for which held in accordance with Accounts and Audit Regulations.
- 13.2. No tangible moveable property shall be purchased or otherwise acquired, sold, leased or otherwise disposed of, without the authority of the council, together with any other consents required by law, save where the estimated value of any one item of tangible movable property does not exceed £250.
- 13.3. No real property (interests in land) shall be sold, leased or otherwise disposed of without the authority of the council, together with any other consents required by law. In each case a report in writing shall be provided to council in respect of valuation and surveyed condition of the property (including matters such as planning permissions and covenants) together with a proper business case (including an adequate level of consultation with the electorate).
- 13.4. No real property (interests in land) shall be purchased or acquired without the authority of the full council. In each case a report in writing shall be provided to council in respect of valuation and surveyed condition of the property (including matters such as planning permissions and covenants) together with a proper business case (including an adequate level of consultation with the electorate).

- 13.5. Subject only to the limit set in Regulation 14.2 above, no tangible moveable property shall be purchased or acquired without the authority of the full council. In each case a report in writing shall be provided to council with a full business case.
- 13.6. The RFO shall ensure that an appropriate and accurate Register of Assets and Investments is kept up to date. The continued existence of tangible assets shown in the Register shall be verified at least annually, possibly in conjunction with a health and safety inspection of assets.

14. INSURANCE

- 14.1. Following the annual risk assessment (per Regulation 17), the RFO shall effect all insurances and negotiate all claims on the council's insurers.
- 14.2. The RFO shall keep a record of all insurances effected by the council and the property and risks covered thereby and annually review it.
- 14.3. The RFO shall be notified of any loss liability or damage or of any event likely to lead to a claim, and shall report these to council at the next available meeting.
- 14.4. All appropriate members and employees of the council shall be included in a suitable form of security or fidelity guarantee insurance which shall cover the maximum risk exposure as determined annually by the council.

15. RISK MANAGEMENT

- 15.1. The council is responsible for putting in place arrangements for the management of risk. The Clerk shall prepare, for approval by the council, risk management policy statements in respect of all activities of the council. Risk policy statements and consequential risk management arrangements shall be reviewed by the council at least annually.
- 15.2. When considering any new activity, the Clerk shall prepare a draft risk assessment including risk management proposals for consideration and adoption by the council.

16. SUSPENSION AND REVISION OF FINANCIAL REGULATIONS

- 16.1. It shall be the duty of the council to review the Financial Regulations of the council from time to time. The Clerk shall make arrangements to monitor changes in legislation or proper practices and shall advise the council of any requirement for a consequential amendment to these Financial Regulations.
- 16.2. The council may, by resolution of the council duly notified prior to the relevant meeting of council, suspend any part of these Financial Regulations provided that reasons for the suspension are recorded and that an assessment of the risks arising has been drawn up and presented in advance to all members of council.

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